

S.No.:

Client Registration Form

- INDIVIDUAL
- HUF
- PARTNERSHIP FIRM
- CORPORATE



L.D.K. SHARES & SECURITIES PVT. LTD.

MEMBER : NSE & BSE • DP : NSDL

Name (Branch/Sub Brokers/AP) : _____




Employee Name : _____ Designation : _____

Signature : _____

Date :

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Place : _____

Signature of Applicant(s) :  _____  _____  _____
First Holder Second Holder Third Holder



L.D.K. SHARES & SECURITIES PVT. LTD.

Member : NSE (Capital Market, F&O and Currency Derivatives Segment)

Member : BSE (Capital Market and F&O Segment)

SEBI Regn. No.: INZ000269834

Regd. Office : 195, Patparganj Industrial Area, New Delhi-110092

Corporate Office : 48/6, B-3, Puneet Vrindavan, Sanjay Place, Agra - 282002

Phone : +91-562-2525906 • Fax : +91-562-2525907 • E-mail : ldkshares@yahoo.co.in

Website : www.ldkshares.com

Compliance Officer's Details

Name : Govind Prasad Agrawal

Phone : +91-562-2525906

E-mail Id : ldkshares@yahoo.co.in

CEO's Details

Name : Jitendra Kumar Keshwani

Phone : +91-562-2525909

E-mail Id : ldkshares@rediffmail.com

Clearing Member : (NSE - F&O)

STOCKHOLDING CORPORATION OF INDIA LTD.

SEBI Regn. No.: INZ000199936

301, Centre Point, Dr. Babasahed Ambedkar Road, Parel, Mumbai-400012

Tel.: 022-61779400-99

For any grievance/dispute please contact **L.D.K. Shares & Securities Pvt. Ltd.** at the above address or email id - **grievances@ldkshares.com** and Phone No. +91-562 -2525906. In case not satisfied with the response, please contact the concerned exchange(s) at :

- NSE-complaint may be filed through e-mail : invq@nse.co.in or sending hard copy to nearest NSE office or may Call at 022-26598100
- BSE-complaint may be filed through e-mail : mahesh.ghadi@bseindia.com or sending hard copy to nearest BSE Investor centre or at 022-22721233

Filing of complaints on SCORES (website link: <https://www.scores.gov.in/scores/viewComplaintStatus.html#>)

- Register on SCORES portal
- Mandatory details for filing complaints on SCORES:
 - Name, PAN, Address, Mobile Number, Email ID
- Benefits:
 - Effective communication
 - Speedy redressal of the grievances

About Internet & Wireless Based Trading Facilities

Tick the mode of Trading

Web Trading

Odin-Diet

NOW (NSE,BSE ON Web)

Offline Trading

ACKNOWLEDGEMENT LETTER

To,
L.D.K. Shares & Securities Pvt. Ltd.
Corporate Office : 48/6, B-3, Puneet Vrindavan,
Sanjay Place, Agra - 282002

Dated _____




Dear Sir/ Madam,

I hereby opt to get the document listed below in -

Electronic Form Physical Form

1. Rights and Obligations of Stock Brokers, Sub-brokers and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
2. Risk Disclosure Document for Capital Market and Derivatives Segments.
3. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors.
4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
5. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.
6. I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us.
Further I confirmed that the documents for KYC submitted by me are true and correct.

Yours faithfully,

First / Sole Holder Signature	Second Joint Holder Signature (only for DP account)	Third Joint Holder Signature (only for DP account)
		

ACKNOWLEDGEMENT LETTER

To,
L.D.K. Shares & Securities Pvt. Ltd.
Corporate Office : 48/6, B-3, Puneet Vrindavan,
Sanjay Place, Agra - 282002




Dated _____

Dear Sir/ Madam,

I/We hereby acknowledge receipt of the following documents

1. Rights and Obligations of Stock Brokers, Sub-brokers and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
2. Risk Disclosure Document for Capital Market and Derivatives Segments.
3. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors.
4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
5. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.
6. I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us.
Further I confirmed that the documents for KYC submitted by me are true and correct.

Yours faithfully,

First / Sole Holder Signature	Second Joint Holder Signature (only for DP account)	Third Joint Holder Signature (only for DP account)
		

ACCOUNT OPENING KIT

INDEX OF DOCUMENTS

S. No.	Name of Document	Brief Significance of the Document	Page No.
Docket A - MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
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		B. Document captures the additional information about the constituent relevant to trading account and an instruction/check list.	6 to 11
2.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading)	Separate Sheet
3.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
4.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
5.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	12 to 15
6.	Tariff Sheet	Tariff Sheet provides the detailed terms & conditions of charging subscription/rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	16

DOCKET - B VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

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Note: A separate booklet containing "Rights and Obligations, Risk Disclosure Document (RDD), Guidance note- Do's and Don'ts for trading on the exchange(s) for the investors" is available with account opening form which is also available at our website www.idkshares.com Investor Awareness segments.

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM (For Trading and Demat Account)

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) : - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary Requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control-either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership Firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting

*In respect of other clients, documents as per risk management policy of the Company need to be provided by the client from time to time.

Bank Proof: Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.

Demat Proof: Demat master or recent holding statement issued by DP bearing name of the client.

In-person Verification:

For Individuals:

- Stock broker has an option of doing "in-person" verification through web camera at the branch office of the stock broker/sub-broker's office.
- In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out

In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted. For Non Individuals: Form need to be initialed by all the authorized Signatories.



DOCKET - A

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

- A) Fields marked with "*" are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.



For office use only (To be filled by financial institution)

Application Type* New Update

KYC Number (Mandatory for KYC update request)

Account Type* Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction **A** at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Sector)	
	<input type="checkbox"/> O-Others (<input type="checkbox"/> Professional	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student)	
	<input type="checkbox"/> B-Business			
	<input type="checkbox"/> X- Not Categorized			

PHOTO

Signature / Thumb Impression

2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction **B** at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction **C** at the end)

(Certified copy of **any one** of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number <input type="text"/>	Passport Expiry Date <input type="text"/>
<input type="checkbox"/> B- Voter ID Card <input type="text"/>	
<input type="checkbox"/> C- PAN Card <input type="text"/>	
<input type="checkbox"/> D- Driving Licence <input type="text"/>	Driving Licence Expiry Date <input type="text"/>
<input type="checkbox"/> E- UID (Aadhaar) <input type="text"/>	
<input type="checkbox"/> F- NREGA Job Card <input type="text"/>	
<input type="checkbox"/> Z- Others (any document notified by the central government) <input type="text"/>	Identification Number <input type="text"/>
<input type="checkbox"/> S- Simplified Measures Account - Document Type code <input type="text"/>	Identification Number <input type="text"/>

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction **D** at the end)

(Certified copy of **any one** of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential / Business Residential Business Registered Office Unspecified

Proof of Address* Passport Driving Licence UID (Aadhaar)

Voter Identity Card NREGA Job Card Others please specify

Simplified Measures Account - Document Type code

Address

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* City / Town / Village* ISO 3166 Country Code*

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*
Line 2
Line 3 City / Town / Village*
District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*
Line 2
Line 3 City / Town / Village*
State* ZIP / Post Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -
FAX - Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Name* Prefix First Name Middle Name Last Name
(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

A- Passport Number Passport Expiry Date --
 B- Voter ID Card
 C- PAN Card
 D- Driving Licence Driving Licence Expiry Date --
 E- UID (Aadhaar)
 F- NREGA Job Card
 Z- Others (any document notified by the central government) Identification Number
 S- Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

- I hereby declare that the KYC details furnished by me are true and correct to the best of my knowledge and belief and I under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from KRA/CERSAI (CKYC) through SMS/Email on the above registered number/Email address.
- I am also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I hereby consent to sharing my masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.



[Signature / Thumb Impression]

Date : -- Place :

Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies IPV Done

KYC VERIFICATION AND IN-PERSON VERIFICATION CARRIED OUT BY

Date -- Place
Emp. Name
Emp. Code
Emp. Designation
Emp. Branch
 [Employee Signature]

INSTITUTION DETAILS

Name **L.D.K. SHARE & SECURITIES PVT. LTD.**
Code **I N 3 6 6 0**
 [Institution Stamp]



Important Instructions:

- A) Fields marked with '**' are mandatory fields.
- B) Tick '✓' wherever applicable.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please fill the form in English and in BLOCK letters.
- E) KYC number of applicant is mandatory for update application.
- F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- G) List of two character ISO 3166 country codes is available at the end.
- H) Please read section wise detailed guidelines / instructions at the end.
- I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only Application Type* New Update
 (To be filled by financial institution) KYC Number (Mandatory for KYC update request)

1. ENTITY DETAILS* (Please refer instruction **A** at the end)

Name*

Entity Constitution Type* Others (Specify) (Please refer instruction B at the end)

Date of Incorporation / Formation* DD - MM - YY YY Date of Commencement of Business DD - MM - YY YY

Place of Incorporation / Formation* Country of Incorporation / Formation* TIN or Equivalent Issuing Country

PAN* Form 60 furnished

TIN / GST Registration Number

2. PROOF OF IDENTITY (PoI)* (Please refer instruction **B** at the end)

Officially void document(s) in respect of person authorised to transact

Certificate of Incorporation / Formation Registration Certificate Regn. Certificate No.

Memorandum and Articles of Association Partnership Deed Trust Deed

Resolution of Board / Managing Committee Power or attorney granted to its manager, officers or employees to transact on its behalf

Activity Proof - 1 (For Sole Proprietorship Only) Activity Proof - 2 (For Sole Proprietorship Only)

3. ADDRESS* (Please refer instruction **C** at the end)

3.2 Local Address in India (If different from Above)*

Proof of Address* Certificate of Incorporation / Formation Registration Certificate Other Document

Line 1*

Line 2

Line 3 City / Town / Village*

District Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

3.1 Registered Office Address / Place of Business*

Line 1*

Line 2

Line 3 City / Town / Village*

District Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

4. CONTACT DETAILS (All communication will be sent to Mobile number / E-mail ID provided may be used) (Please refer instruction **D** at the end)

Tel. (Off) - FAX -

Mobile - Email ID

Mobile - Email ID

5. NUMBER OF RELATED PERSONS (Please refer instruction **E** at the end)

**Details of Promoters / Partners / Karta / Trustees and Whole Time Directors
forming a part of Know Your Client (KYC) Application Form for Non-Individuals**

Sr. No.	Name	Relationship with Applicant (i.e. promoters, whole time directors etc.)	PAN	Residential / Registered Address	DIN of whole time directors / Aadhaar number of Promoters / Partners / Karta	Photograph
1.					DIN : UID :	
2.					DIN : UID :	
3.					DIN : UID :	
4.					DIN : UID :	
5.					DIN : UID :	



Name & Signature of the Authorised Signatory(ies)

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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TRADING ACCOUNT RELATED DETAILS**FOR INDIVIDUALS & NON-INDIVIDUALS****BANK ACCOUNT DETAILS**

(Through which transactions will generally be routed)

Primary Bank Details (for DP and Trading) :Bank Name : Account No. : Branch : Address : Pin Code : MICR Code of Branch : IFS Code : Account Type : Savings Current NRE/NRO OTHERS : (Please Specify)Pay-Out Option : Cheque Fund Transfer Bank Draft CMS NEFT RTGS As Per Group**Secondary Bank Details (for Trading, if any) :**Bank Name : Account No. : Branch : Address : Pin Code : MICR Code of Branch : IFS Code : Account Type : Savings Current NRE/NRO OTHERS : (Please Specify)Pay-Out Option : Cheque Fund Transfer Bank Draft CMS NEFT RTGS As Per Group**DEPOSITORY ACCOUNT DETAILS**

(Through which transactions will generally be routed.)

Depository Name : CDSL NSDLName of Depository Participant : Beneficiary Name : DP ID : Beneficiary ID (BOID) : **OTHER DETAILS**

- Gross Annual Income Details : Below Rs. 1 Lac Rs. 1 Lac to 5 Lac Rs. 5 Lac to 10 Lac
(please specify) Rs. 10 Lac to 25 Lac Rs. 25 Lac to 1 Crore >1 Crore

ORNet Worth (Net worth should not be older than 1 year) Amount Rs. as on (date) (Compulsory for Non-Individuals)






- Occupation : Private Sector Public Sector Government Service Business Professional
(please tick any one and give brief details) Agriculturist Retired Housewife Student Others _____ Please Specify

- Please tick, if applicable : Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)

- Any other information :

TRADING PREFERENCE

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

EXCHANGE	SEGMENT	SIGNATURE
National Stock Exchange (NSE)	Cash	<input type="checkbox"/> 
	F&O	<input type="checkbox"/> 
	Currency Derivative	<input type="checkbox"/> 
Bombay Stock Exchange (BSE)	Cash	<input type="checkbox"/> 
	F&O	<input type="checkbox"/> 

PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / Stock Exchange / any other authority against the applicant / constituent or its partners / promoters / whole time directors / authorised persons in charge of dealing in securities during last three years.

(Please Specify)

DEALING THROUGH AUTHORISED PERSON AND OTHER STOCK BROKERS

If client is dealing through the Authorised Person, provide the following details :

Name of the Auth. Person

Sub-broker SEBI Registration No.

Sub-Broker's Address

Sub-Broker's Phone/Fax No.

Sub-Broker's Website

Whether dealing with any other stock broker / Auth. Person (In case dealing with multiple stock brokers / Auth. Person, please provide details of all)

Name of the stock broker

Name of Auth. Person (If any)

Client Code (UCC)

Exchange

Details of disputes / dues pending from / to such stock broker / Auth. Person

(Please Specify)

ADDITIONAL DETAILS

SMS and E-mail alerts to investors by stock exchanges :

Yes

No

Type of Facility

SMS Alert

E-mail Alert

Both

Whether you wish to receive :

Physical Contract Note

Electronic Contract Note (ECN)

E-mail (If Applicable) :

Whether you wish to avail of the facility of internet trading / wireless technology :

Yes

No

Trading Experience / Number of Years of Investment

No Prior Investment Experience

____ Years in Equities

____ Years in Derivatives

____ Years in other Investment related fields

In case of Non-Individual, Name, Designation, PAN, UID, Signature, Residential Address and Photographs of persons authorised to deal in securities on behalf of the company / Firm/ others.

Please provide the details in Annexure A as per format attached

DETAILS OF AUTHORISED PERSON/AUTHORISED SIGNATORY(IES) TO DEAL IN SECURITIES ON BEHALF OF COMPANY/FIRM/OTHERS

Name (1) : PAN : <table style="display: inline-table; border: none;"><tr><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td></tr></table> Designation : Residential : Address : City/Town/Village : Pin Code : <table style="display: inline-table; border: none;"><tr><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td></tr></table> State : Country : DIN / UID : Please tick, if applicable, for any of your authorized signatories/Promoters/Partners/Karta/Trustees/whole time directors: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) Any Other Information :																																		Please affix your recent passport size photograph and sign across it

Name (2) : PAN : <table style="display: inline-table; border: none;"><tr><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td></tr></table> Designation : Residential : Address : City/Town/Village : Pin Code : <table style="display: inline-table; border: none;"><tr><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td><td style="border: 1px solid black; width: 20px; height: 20px;"></td></tr></table> State : Country : DIN / UID : Please tick, if applicable, for any of your authorized signatories/Promoters/Partners/Karta/Trustees/whole time directors: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) Any Other Information :																																		Please affix your recent passport size photograph and sign across it

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DETAIL OF INTRODUCER (OPTIONAL)

Introduced by : Existing Client Sub-Broker Remisier Authorised Person Others (Please Specify) _____

Name of Introducer : _____

Sub-Broker/AP Registration No. _____ UCC : _____ Employee Code : _____

PAN NO. : _____

Address : _____

City : _____


Pin : _____ State : _____ Country : _____

Phone : _____

Signature of the Introducer : _____

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Name of Applicant : Signature :  Signature of Applicant / authorised Signatory(s) with rubber stampDate : Place : **FOR OFFICE USE ONLY**I. Client Categorization Under PMLA LOW RISK MEDIUM RISK HIGH RISKUCC :

	Documents Verified with Originals	Client Interviewed By	In-Person Verification Done By
Name of the Employee / Sub Broker / AP			
Employee / Sub Broker / AP Code			
Designation of the Employee / Sub Broker / AP			
Date			
Signature			

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document(s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

FOR & ON BEHALF OF **L.D.K. Shares & Securities Pvt. Ltd.**Name : Signature : Signature of the authorised signatoryDate :

(Seal / Stamp of L.D.K. Shares & Securities Pvt. Ltd.)

NOMINATION FORM - TRADING AND DEMAT ACCOUNTS

(Annexure-A)

(To be filled in by individual applying singly or jointly)

DATE	D	D	M	M	Y	Y	Y	Y	UCC							
DP ID									Client ID							

I/We wish to make a nomination. [As per details given below]

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me/us in the said beneficiary owner account in the event of my / our death.

Nomination can be made upto three nominees in the account	Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1. Name of the nominee(s) Mr./Ms.)			
2. Share of each Nominee <small>Equally [If not equally, please specify percentage]</small>	%	%	%
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>			
3. Relationship with the Applicant (if any)			
4. Address of Nominee(s) City / Place State / Country PIN Code			
5. Mobile/Telephone No. of Nominee(s)			
6. Email ID of nominee(s)			
7. Nominee Identification details [Please tick any one of following and provide details of same]			
<input type="checkbox"/> Photograph	<div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto;"></div>	<div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto;"></div>	<div style="border: 1px solid black; width: 100px; height: 100px; margin: 0 auto;"></div>
<input type="checkbox"/> Signature			
<input type="checkbox"/> PAN			
<input type="checkbox"/> AADHAAR			
<input type="checkbox"/> Saving Bank A/c No.			
<input type="checkbox"/> Proof of Identity			
<input type="checkbox"/> Demat Account ID			
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor :			
8. Date of Birth {in case of minor nominee(s)}			
9. Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}			
10. Address of Guardian(s) City / Place State / Country PIN Code			

11.	Mobile/Telephone No. of Guardian			
12.	Email ID of nominee(s)			
13.	Relationship of Guardian with nominee			
14.	Guardian Identification details - [Please tick any one of following and provide details of same]			
	<input type="checkbox"/> Photograph			
	<input type="checkbox"/> Signature			
	<input type="checkbox"/> PAN			
	<input type="checkbox"/> AADHAAR			
	<input type="checkbox"/> Saving Bank A/c No.			
	<input type="checkbox"/> Proof of Identity			
	<input type="checkbox"/> Demat Account ID			

	Name(s) of Holder(s)	Signature(s) of Holder
Sole/First Holder (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

#Optional Fields (Information required at Serial Nos. 5, 6, 7, 11, 12 & 14 is not mandatory)

Note: This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s).

DECLARATION FORM FOR OPTING OUT OF NOMINATION

(Annexure-B)

DATE	D	D	M	M	Y	Y	Y	Y	UCC							
------	---	---	---	---	---	---	---	---	-----	--	--	--	--	--	--	--

DP ID									Client ID							
-------	--	--	--	--	--	--	--	--	-----------	--	--	--	--	--	--	--

Sole/First Holder Name	
Second Holder Name	
Third Holder Name	

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

Signatures of Holder(s)

--

Signature of 1st Holder

--

Signature of 2nd Holder

--

Signature of 3rd Holder

1. Refusal of orders for penny/illiquid stock

The stock broker may from time to time limit (quantity/value)/refuse orders in one or more securities due to various reasons including market liquidity, value of security(ies), the order being for securities which are not in the permitted list of the stock broker/exchange(s) /SEBI. Provided further that stock broker may require compulsory settlement/advance payment of expected settlement value/ delivery of securities for settlement prior to acceptance/placement of order(s) as well. The client agrees that the losses, if any on account of such refusal or due to delay caused by such limits, shall be borne exclusively by the client alone. The stock broker may require reconfirmation of orders, which are larger than that specified by the stock broker's risk management, and is also aware that the stock broker has the discretion to reject the execution of such orders based on its risk perception.

2. Setting up client's exposure limits and conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client.

The stock broker may from time to time impose and vary limits on the orders that the client can place through the stock broker's trading system (including exposure limits, turnover limits, limits as to the number, value and/or kind of securities in respect of which orders can be placed etc.). The client is aware and agrees that the stock broker may need to vary or reduce the limits or impose new limits urgently on the basis of the stock broker's risk perception and other factors considered relevant by the stock broker including but not limited to limits on account of exchange/ SEBI directions/limits (such as broker level/ market level limits in security specific/volume specific exposures etc.), and the stock broker may be unable to inform the client of such variation, reduction or imposition in advance. The client agrees that the stock broker shall not be responsible for such variation, reduction or imposition or the client's inability to route any order through the stock broker's trading system on account of any such variation, reduction or imposition of limits. The client further agrees that the stock broker may at any time, at its sole discretion and without prior notice, prohibit or restrict the client's ability to place orders or trade in securities through the stock broker, or it may subject any order placed by the client to a review before its entry into the trading systems and may refuse to execute/allow execution of orders due to but not limited to the reason of lack of margin/securities or the order being outside the limits set by stock broker/exchange/ SEBI and any other reasons which the stock broker may deem appropriate in the circumstances.

The client agrees that the losses, if any on account of such refusal or due to delay caused by such review, shall be borne exclusively by the client alone.

The stock broker is required only to communicate/ advise the parameters for the calculation of the margin/security requirements as rate(s)/percentage(s) of the dealings, through anyone or more means or methods such as post /speed post/courier/registered post/registered A.D/facsimile/ telegram/cable/e-mail/ voice mails/ telephone (telephone includes such devices as mobile phones etc.) including SMS on the mobile phone or any other similar device; by messaging on the computer screen of the client's computer; by informing the client through employees/agents of the stock broker; by publishing/ displaying it on the website of the stock broker/making it available as a download from the website of the stock broker; by displaying it on the notice board of the branch/office through which the client trades or if the circumstances, so require, by radio broadcast/television broadcast/newspapers advertisements etc; or any other suitable or applicable mode or manner. The client agrees that the postal department/the courier company /newspaper company and the e-mail/voice mail service provider and such other service providers shall be the agent of the client and the delivery shall be complete when communication is given to the postal department/the courier company/the e-mail/voice mail service provider, etc. by the stock broker and the client agrees never to challenge the same on any grounds including delayed receipt/non receipt or any other reasons whatsoever and once parameters for margin/security requirements are so communicated, the client shall monitor his/her/its position (dealings/trades and valuation of security) on his/her/its own and provide the required/deficit margin/security forthwith as required from time to time whether or not any margin call or such other separate communication to that effect is sent by the stock broker to the client and /or whether or not such communication is received by the client.

The client is not entitled to trade without adequate margin/security and that it shall be his/her/its responsibility to ascertain beforehand the margin /security requirements for his/ her /its orders/trades/ deals and to ensure that the required margin/security is made available to the stock broker in such form and manner as may be required by the stock broker. If the client's order is executed despite a shortfall in the available margin, the client, shall, whether or not the stock broker intimates such shortfall in the margin to the client, make up the shortfall suo moto immediately. The client further agrees that he /she/it shall be responsible for all orders (including any orders that may be executed without the

required margin in the client's account) &/or any claim /loss/ damage arising out of the non availability /shortage of margin /security required by the stock broker &/or exchange &/or SEBI.

The stock broker is entitled to vary the form (i.e., the replacement of the margin/security in one form with the margin/security in any other form, say, in the form of money instead of shares) &/or quantum &/or percentage of the margin &/or security required to be deposited/made available, from time to time.

The margin/security deposited by the client with the stock broker are not eligible for any interest.

The stock broker is entitled to include/appropriate any/all payout of funds &/or securities towards margin/security without requiring specific authorizations for each payout.

The stock broker is entitled to transfer funds &/ or securities from his account for one exchange &/or one segment of the exchange to his/her/its account for another exchange &/or another segment of the same exchange whenever applicable and found necessary by the stock broker.

The client also agrees and authorises the stock broker to treat/adjust his/ her/its margin/security lying in one exchange &/or one segment of the exchange/towards the margin/security/pay in requirements of another exchange &/or another segment of the exchange.

The stock broker is entitled to disable/freeze the account &/or trading facility/any other service. facility, if, in the opinion of the stock broker, the client has committed a crime/fraud or has acted in contradiction of this agreement or/is likely to evade/violate any laws, rules, regulations, directions of a lawful authority whether Indian or foreign or if the stock broker so apprehends.

3. Applicable brokerage rate

The stock broker is entitled to charge brokerage within the limits imposed by exchange which at present is as under:

- a. For Cash Market Segment: The maximum brokerage chargeable in relation to trades effected in the securities admitted to dealings on the Capital Market segment of the Exchange shall be 2.5 % of the contract price exclusive of statutory levies. It is hereby further clarified that where the sale/purchase value of a share is Rs.10/ - or less, a maximum brokerage of 25 paise per share may be collected.
- b. For Option contracts: Brokerage for option contracts shall be charged on the premium amount at which the option contract was bought or sold and not on the strike price of the option contract. It is hereby clarified that brokerage charged on options contracts shall not exceed 2.5% of the premium amount or Rs 100/- (per lot) whichever is higher.

4. Imposition of penalty/delayed payment charges

The client agrees that any amounts which are overdue from the client towards trading or on account of any other reason to the stock broker will be charged with delayed payment charges at such rates not exceeding 2% p.m. as may be determined by the stock broker. The client agrees that the stock broker may impose fines/penalties for any orders/trades/deals/actions of the client which are contrary to this agreement/rules/ regulations/bye laws of the exchange or any other law for the time being in force, at such rates and in such form as it may deem fit. Further where the stock broker has to pay any fine or bear any punishment from any authority in connection with/as a consequence of/in relation to any of the orders/trades/deals/actions of the client, the same shall be borne by the client. The client agrees to pay to the stock broker brokerage, commission, fees, all taxes, duties, levies imposed by any authority including but not limited to the stock exchanges (including any amount due on account of reassessment/backlogs etc.), transaction expenses, incidental expenses such as postage, courier etc. as they apply from time to time to the client's account/ transactions/services that the client avails from the stock broker.

5. The right to sell clients' securities or close clients' positions, without giving notice to the client, on account of non-payment of client's dues

The stock broker maintains centralized banking and securities handling processes and related banking and depository accounts at designated place. The client shall ensure timely availability of funds/securities in designated form and manner at designated time and in designated bank and depository account(s) at designated place, for meeting his/her/its pay in obligation of funds and securities. The stock broker shall not be responsible for any claim/loss/damage arising out of non availability/short availability of funds/securities by the client in the designated account(s) of the stock broker for meeting the pay in obligation of either funds or securities. If the client gives orders/trades in the anticipation of the required securities being available subsequently for pay in through anticipated payout from the exchange or through borrowings or any off market delivery(s) or market delivery(s) and if such anticipated availability does not materialize in actual availability of securities/funds for pay in for any reason whatsoever including but not limited to any delays/ shortages at the exchange or stock broker level/non release of margin by the stock broker etc., the losses which may occur to the client as a consequence of such shortages in any manner such as on account of auctions/square off/closing outs etc., shall be solely to the account of the client and the client agrees not to hold the stock broker responsible for the same in any form or manner whatsoever.

In case the payment of the margin/security is made by the client through a bank instrument, the stock broker shall be at liberty to give the benefit/credit for the same only on the realization of the funds from the said bank instrument etc. at the absolute discretion of the stock broker.

Where the margin /security is made available by way of securities or any other property, the stock broker is empowered to decline its acceptance as margin/security &/or to accept it at such reduced value as the stock broker may deem fit by applying haircuts or by valuing it by marking it to market or by any other method as the stock broker may deem fit in its absolute discretion.

The stock broker has the right but not the obligation, to cancel all pending orders and to sell/close/liquidate all open positions/ securities/shares at the pre-defined square off time or when Mark to Market (M-T-M) percentage reaches or crosses stipulated margin percentage mentioned on the website, whichever is earlier. The stock broker will have sole discretion to decide referred stipulated margin percentage depending upon the market condition. In the event of such square off, the client agrees to bear all the losses based on actual executed prices. In case open position (Le. short/long) gets converted into delivery due to non square off because of any reason whatsoever, the client agrees to provide securities/funds to fulfill the payin obligation failing which the client will have to face auctions or internal close outs; in addition to this the client will have to pay penalties and charges levied by exchange in actual and losses, if any. Without prejudice to the foregoing, the client shall also be solely liable for all and any penalties and charges levied by the exchange(s).

The stock broker is entitled to prescribe the date and time by which the margin/security is to be made available and the stock broker may refuse to accept any payments in any form after such deadline for margin/security expires.

Notwithstanding anything to the contrary in the agreement or elsewhere, if the client fails to maintain or provide the required margin/fund/security or to meet the funds/margins/ securities pay in obligations for the orders/trades/deals of the client within the prescribed time and form, the stock broker shall have the right without any further notice or communication to the client to take any one or more of the following steps:

- i. To withhold any payout of funds/securities.
- ii. To withhold/disable the trading/dealing facility to the client.
- iii. To liquidate one or more security(s) of the client by selling the same in such manner and at such rate which the stock broker may deem fit in its absolute discretion. It is agreed and understood by the client that securities here includes securities which are pending delivery/ receipt.

- iv. To liquidate/square off partially or fully the position of sale &/or purchase in anyone or more securities/contracts in such manner and at such rate which the stock broker may decide in its absolute discretion.
- v. To take any other steps which in the given circumstances, the stock broker may deem fit.

The client agrees that the loss(s) if any, on account of anyone or more steps as enumerated herein above being taken by the stock broker, shall be borne exclusively by the client alone and agrees not to question the reasonableness, requirements, timing, manner, form, pricing etc., which are chosen by the stock broker.

6. Shortages in obligations arising out of internal netting of trades

Stock broker shall not be obliged to deliver any securities or pay any money to the client unless and until the same has been received by the stock broker from the exchange, the clearing corporation/ clearing house or other company or entity liable to make the payment and the client has fulfilled his/her/ its obligations first.

The policy and procedure for settlement of shortages in obligations arising out of internal netting of trades is as under:

- a. The securities delivered short are purchased from market on T+3 day which is the Auction Day on Exchange, and the purchase consideration (inclusive of all statutory taxes & levies) is debited to the short delivering seller client.
- b. If securities cannot be purchased from market due to any reason whatsoever on T+3 day they can be covered from the market on any subsequent trading days. In case any reason whatsoever (any error or omission) any delay in covering of securities leads to higher losses, stock broker will not be liable for the same. Where the delivery is matched partially or fully at the Exchange Clearing, the delivery and debits/credits shall be as per Exchange Debits and Credits.
- c. In cases of securities having corporate actions all cases of short delivery of cum transactions which cannot be auctioned on cum basis or where the cum basis auction payout is after the book closure/record date, would be compulsory closed out at higher of 10% above the official closing price on the auction day or the highest traded price from first trading day of the settlement till the auction day

7. Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client.

We have margin based RMS System. Client may take exposure upto the amount of margin available with us.

Client may not be allowed to take position in case of non-availability/ shortage of margin as per our RMS policy of the company. The existing position of the client is also liable to square off/ close out without giving notice due to shortage of margin/non making of payment for their pay-in obligation/outstanding debts.

8. De-registering a client

Notwithstanding anything to the contrary stated in the agreement, the stock broker shall be entitled to terminate the agreement with immediate effect in any of the following circumstances:

- i. If the action of the Client are prima facie illegal/ improper or such as to manipulate the price of any securities or disturb the normal/ proper functioning of the market, either alone or in conjunction with others.
- ii. If there is any commencement of a legal process against the Client under any law in force;
- iii. On the death/lunacy or other disability of the Client;
- iv. If a receiver, administrator or liquidator has been appointed or allowed to be appointed of all or any part of the undertaking of the Client;
- v. If the Client has voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers itself to the Board for Industrial and Financial Reconstruction or under any other law providing protection as a relief undertaking;
- vi. If the Client being a partnership firm, has any steps taken by the Client and/ or its partners for dissolution of the partnership;
- vii. If the Client have taken or suffered to be taken any action for its reorganization, liquidation or dissolution;
- viii. If the Client has made any material misrepresentation of facts, including (without limitation) in relation to the Security;
- ix. If there is reasonable apprehension that the Client is unable to pay its debts or the Client has admitted its inability to pay its debts, as they become payable;
- x. If the Client suffers any adverse material change in his/her/its financial position or defaults in any other agreement with the Stock broker;
- xi. If the Client is in breach of any term, condition or covenant of this Agreement;
- xii. If any covenant or warranty of the Client is incorrect or untrue in any material respect; However notwithstanding any termination of the agreement, all transactions made under/pursuant to this agreement shall be subject to all the terms and conditions of this agreement and parties to this agreement submit to exclusive jurisdiction of courts of law at the place of execution of this agreement by Stock Broker.

9. Policy regarding treatment of inactive accounts:

When Trade is not done in an account for more than 6 months, Stock Broker reserves the right to temporary suspend the operations. The Management may allow operations in such account after making such verification as it deems fit. The balance of securities/funds will be transferred to client after due verification.

Client Acceptance of Policies and Procedures stated hereinabove:

I/We have fully understood the same and do hereby sign the same and agree not to call into question the validity, enforceability and applicability of any provision/clauses this document any circumstances what so ever. These Policies and Procedures may be amended/changed unilaterally by the broker, provided the change is informed to me/us with through anyone or more means or methods such as post/speed post/courier/registered post/registered AD/facsimile/telegram/cable/e-mail/voice mails/telephone (telephone includes such devices as mobile phones etc.) including SMS on the mobile phone or any other similar device; by messaging on the computer screen of the client's computer; by informing the client through employees/agents of the stock broker; by publishing/displaying it on the website of the stock broker/making it available as a download from the website of the stock broker; by displaying it on the notice board of the branch/office through which the client trades or if the circumstances, so require, by radio broadcast/ television broadcast/newspapers advertisements etc; or any other suitable or applicable mode or manner. I/we agree that the postal department/the courier company /newspaper company and the e-mail/ voice mail service provider and such other service providers shall be my/our agent and the delivery shall be complete when communication is given to the postal department/the courier company/the e-mail/voice mail service provider, etc. by the stock broker and I/we agree never to challenge the same on any grounds including delayed receipt/non receipt or any other reasons whatsoever. These Policies and Procedures shall always be read along with the agreement and shall be compulsorily referred to while deciding any dispute/ difference or claim between me/ us and stock broker before any court of law/judicial/adjudicating authority including arbitrator/ mediator etc.



Client Signature

TARIFF SHEET

EQUITY SEGMENT

Brokerage Slab	Slab in %	Minimum per Share
Delivery Based		
Intra Day		

F & O SEGMENT

Brokerage Slab	Slab in %	Minimum per Lot
Future		
Options		

CURRENCY DERIVATIVES SEGMENT

Brokerage Slab	Slab in %	Minimum per Lot
Future		
Options		

Note :

1. All legal levies such as STT, Stamp duty, GST, Sebi Fees, Exchange turnover charges, clearing member charges, contribution towards investor protection fund and all other levies shall be charged at the rates applicable from time to time in addition to the brokerage stated above
 2. Trading terminal licence fee/software usage charges shall be charged separately @ _____% of turnover or @ Rs. _____ per segment per month wherever applicable.
 3. Minimum Service Charge for trading day will be Rs. 15/-
 4. Charges/service standards are subject to revision at sole discretion of L.D.K. Shares & Securities Pvt. Ltd.
 5. Charges quoted above are for the services listed. Any service not quoted above will be charged separately.
 6. Penalty for delay in payment of settlement / margin obligation shall be charged @ 18% p.a. on daily outstanding balance till settled in full.
- I/We have the above given information and agree to pay the charges / levies mentioned above.



Client Signature

INTIMATION BY THE MEMBER FOR PROPRIETARY TRADING

Dear Constituent,

Under instruction of SEBI, The National Stock Exchange Ltd. (NSE) / Bombay Stock Exchange Ltd. (BSE) has directed all its members to inform their clients whether they engage in proprietary trading in this regard we wish to inform you that we do engage in proprietary trading in the Cash, F&O Segment and Currency Derivatives Segment of NSE, Cash and F&O Segment of & BSE.

Kindly take note of the above and oblige.

Thanking You

For **L.D.K. Shares & Securities Pvt. Ltd.**

I/We have read the above letter



Client Signature

Authorised Signatory



DOCKET - B

AUTHORISATION FOR ELECTRONIC COMMUNICATION

To,
L.D.K. Shares & Securities Pvt. Ltd.
Corporate Office : 48/6, B-3, Puneet Vrindavan,
Sanjay Place, Agra - 282002

Date : _____

Sub : Authorisation for Electronic Communication

Dear Sir,

I/We understand that I/We have the option to receive the contract notes in physical form or electronic form. In pursuance of the same, I/We hereby opt for receipt of contract notes in electronic form as well as all other communications including but not limited to account statement, holding statement, trade, order confirmations and any other notices also electronically.

I/We understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes. Accordingly, please take the following email account on your record for sending the contract notes and other communications to me/us.

- 1.
- 2.

I/We agree that the Log Reports of your dispatching software shall be a conclusive proof of dispatch of Contract Notes and any other electronic communication to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non-receipt / delayed receipt for any reason whatsoever.

I/We also agree that non-receipt of bounced mail notification by the stock broker shall amount to delivery at my/our e-mail id.

Please treat this authorisation as written ratification of my/our verbal directions / authorizations given and carried out by you earlier. I/We agree to indemnify you and keep you indemnified against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my/our directions given above.

I/We agree to inform you any change in my email in future either in physical mode by regd. post or by hand delivery or through an email from my email id registered with you.

Thanking you

Yours faithfully,



Client Signature

Client Name : _____

Client Code : _____

RUNNING ACCOUNT AUTHORISATION

To,
L.D.K. Shares & Securities Pvt. Ltd.
Corporate Office : 48/6, B-3, Puneet Vrindavan, Sanjay Place, Agra - 282002

Date : _____


Sub : Running Account Authorisation

I/We are dealing through you as a client in Capital Market and/or Future & Option Segment and/or Currency Segment and/or Interest Rate Future Segment & in order to facilitate ease of operations and upfront requirement or margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you otherwise.
2. I/We request you to settle my fund after making necessary retention as per frequency option given below:-
 Once in a calendar Month Once in every calendar Quarter
except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
3. I/We confirm you that I/We shall bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
4. This Running account authorization would continue until it is revoked by me by giving a notice in writing.

Thanking you
Yours faithfully,



Client Signature

Client Name : _____

Client Code : _____

LETTER OF AUTHORITY

To,
L.D.K. Shares & Securities Pvt. Ltd.
Corporate Office : 48/6, B-3, Puneet Vrindavan, Sanjay Place, Agra - 282002

Date : _____

Sub : Letter of Authority - NSE / BSE

I/We am/are dealing in shares/securities with you at NSE/BSE in various segments and in order to facilitate ease of operations. We authorize you as under :

1. **Delivery of order/ trade confirmation/ cancellation:**
I/We hereby authorize you not to provide me / us order confirmation / Modification / Cancellation Slips and Trade Confirmation slips to avoid unnecessary paper work. I/we shall get the required details from contract notes and confirmation issued by you.
2. **Telephonic Conversation:**
I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.
3. **Fines & Penalties:**
All fines/penalties and charges levied upon you due to my acts / deeds or transactions may be recovered by you from my account.
4. I/We authorized you to pledge / place securities retained in my/our running account with the exchange, clearing corporation, clearing member or any other financial institutional against the debit in my account arising due to my/our margin / settlement obligation.
5. I/We have been explained that I/We may not opt to give any of the above authorisation and that the above authorisations are voluntary on my/our part and that I/We can revoke this authorisation at any point of time during the operation of my/our trading account with you by giving you a notice in writing.

Thanking you
Yours faithfully,



Client Signature

Client Name : _____

Client Code : _____



FATCA & CRS Declaration - Non Individual

PAN Trading Code DP Code

Name

Please tick the applicable tax resident declaration -

I. Is "Entity" a tax resident of any country other than India Yes No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr. No.	Country	Tax Identification Number	Identification Type (TIN or Other ^o , please specify)
1.			
2.			

In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a,
 Financial institution (Refer 1 of Part C)
 or
 Direct reporting NFE (Refer 3(vii) of Part C)
 (please tick as appropriate)

GIIN

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity _____

GIIN not available (please tick as applicable) **Applied for** Not obtained – Non-participating FI
 Not required to apply for - please specify 2 digits sub-category (Refer 1 A of Part C)

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1. Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C) Yes (If yes, please specify any one stock exchange on which the stock is regularly traded)
 Name of stock exchange _____

2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C) Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)
 Name of listed company _____
 Nature of relation: Subsidiary of the Listed Company or Controlled by a Listed Company
 Name of stock exchange _____

3. Is the Entity an active NFE (Refer 2c of Part C) Yes Nature of Business _____
 Please specify the sub-category of Active NFE (Mention code – refer 2c of Part C)

4. Is the Entity a passive NFE (Refer 3(ii) of Part C) Yes Nature of Business _____

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick applicable category): Unlisted Company Partnership Firm Limited Liability Partnership Company
 Unincorporated association / body of individuals Public Charitable Trust Religious Trust Private Trust
 Others (please specify _____)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)

Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN #			
Address	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office
Tax ID %			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%) ⁵			

* To include US, where controlling person is a US citizen or green card holder

⁴ If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.

⁶ In case Tax Identification Number is not available, kindly provide functional equivalent

⁵ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform L.D.K. Shares & Securities Pvt. Ltd. for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name

Designation

 _____

Client Signature

Date :

Place :

For Investor convenience, L.D.K. Shares & Securities Pvt. Ltd. collecting this mandatory information for updating across all Group Companies of L.D.K. Shares & Securities Pvt. Ltd. whether you are already an investor or would become an investor in future.

Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest L.D.K. Shares & Securities Pvt. Ltd. branch or you can dispatch the hard copy to-

L.D.K. Shares & Securities Pvt. Ltd.
Corp. Office : 48/6, B-3, Puneet Vrindavan,
Sanjay Place, Agra-282002

• For Detail Terms & Conditions please visit www.ldkshares.com

**DOCKET - C
DEPOSITORY
PARTICIPANT**



APPLICATION FOR OPENING DEPOSITORY ACCOUNT (FOR INDIVIDUAL/HUF/NON-INDIVIDUAL ONLY)



L.D.K. SHARES & SECURITIES PVT. LTD.

(Depository Participant with NSDL)

SEBI Regn. No.: IN-DP-NSDL-270-2006 • DP ID : IN303261

Corporate Office : 48/6, B-3, Puneet Vrindavan, Sanjay Place, Agra - 282002

(To be filled by the Depository Participant)

Client ID																				Date	D	D	M	M	Y	Y	Y	Y
-----------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	------	---	---	---	---	---	---	---	---

I/We request you to open a depository account in my/our name as per following details :

(Please fill all the details in CAPITAL/BLOCK LETTERS only)

A. DETAILS OF ACCOUNT HOLDER(S)

Account Holder(s)	Sole/First Holder	Second Holder	Third Holder			
Name						
UCC	Exchange : National Stock Exchange of India Ltd. Bombay Stock Exchange Ltd.					
Father/Husband Name						
Address						
Mobile No.						
Telephone No.						
E-mail Id						
PAN						
Aadhaar No.						
Occupation (Please tick any one and give brief details)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Pl. Spcify)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Pl. Spcify)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Pl. Spcify)
Brief Details						

B. For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below :

Name		PAN																	
------	--	-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

C. TYPE OF ACCOUNT

<input type="checkbox"/> Ordinary Resident <input type="checkbox"/> Qualified Foreign Investor	<input type="checkbox"/> NRI-Repatriable <input type="checkbox"/> NRI-Non Repatriable	<input type="checkbox"/> Margin <input type="checkbox"/> Foreign National	<input type="checkbox"/> Promoter <input type="checkbox"/> Others (Specify) _____	<input type="checkbox"/> HUF
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Trust	<input type="checkbox"/> FI <input type="checkbox"/> Bank	<input type="checkbox"/> FII <input type="checkbox"/> CM	<input type="checkbox"/> Qualified Foreign Investor <input type="checkbox"/> Others (Specify) _____	<input type="checkbox"/> Mutual Fund

D. INCOME DETAILS (please specify)

Income Range per annum (please tick any one) <input type="checkbox"/> Below Rs. 1 Lac <input type="checkbox"/> Rs. 1 - 5 Lac <input type="checkbox"/> Rs. 5 Lac - 10 Lac <input type="checkbox"/> Rs. 10 Lac - 25 Lac	<input type="checkbox"/> Rs. 25 - 50 Lac <input type="checkbox"/> Rs. 50 - 1 Crore <input type="checkbox"/> Above Rs. 1 Crore	and	Networth Amount Rs. _____ As on (Date) <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table> (Networth should not be older than 1 year)	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				

E. In Case of NRIs/Foreign Nationals/FIIs/Others (as may be applicable)

RBI Approval Reference Number		RBI Approval Date	D	D	M	M	Y	Y	Y	Y
SEBI Registration Number (For FIIs)										

F. BANK DETAILS

1.	Bank Account Type	<input type="checkbox"/> Saving A/c <input type="checkbox"/> Current A/c <input type="checkbox"/> Other (Pl. Specify) _____									
2.	Bank Account Number										
3.	Bank Name										
4.	Branch Address										
		City/Town/Village				Pin Code					
		State				Country					
5.	MICR Code										
6.	IFSC										

G.	Please tick, if applicable, for any of your authorized signatories/ Promoter/Partners/ Karta/Trustees/whole time directors :	<input type="checkbox"/> Politically Exposed Person (PEP)
		<input type="checkbox"/> Related to Politically Exposed Person (RPEP)

H. CLEARING MEMBER DETAILS (to be filled up by Clearing Members only)

1.	Name of Stock Exchange	
2.	Name of Clearing Corporation/Clearing House	
3.	Clearing Member ID	
4.	SEBI Registration Number	
5.	Trade Name	
6.	CM-BP-ID (to be filled up by Participant)	

I. STANDING INSTRUCTIONS

1.	I/We authorise you to receive credits automatically into my/our account	<input type="checkbox"/> Yes <input type="checkbox"/> No		
2.	Account to be operated through Power of Attorney (PoA)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
3.	Account to be operated through Demat Debit and Pledge Instruction (DDPI)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
4.	SMS Alert facility : [Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form]			
	Sr. No.	Holder	Yes	No
	1.	Sole / First Holder	<input type="checkbox"/>	<input type="checkbox"/>
	2.	Second Holder	<input type="checkbox"/>	<input type="checkbox"/>
3.	Third Holder	<input type="checkbox"/>	<input type="checkbox"/>	
5.	Mode of Receiving Statement of Account (Tick any one)	<input type="checkbox"/> Physical Form <input type="checkbox"/> Electronic Form (Read Note 4 and ensure that email ID is provided in KYC Application Form)		
6.	For Joint Account communication to be sent to (See note 5)	<input type="checkbox"/> First Holder <input type="checkbox"/> All Joint Account Holder		

Sole/First Holder Photograph & Sign. across it.

Second Holder Photograph & Sign. across it.

Third Holder Photograph & Sign. across it.
--

J. GUARDIAN DETAILS (where sole holder is a minor) :

[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Guardian Name											
PAN											
Relationship of Guardian with Minor											

K. MODE OF OPERATIONS FOR JOINT ACCOUNTS




Jointly Anyone of the holder or survivor(s)

If Mode of operation for Joint Account is chosen as anyone of the holder or survivor(s), only specified operations such as transfer of securities including Inter-Depository Transfer, Pledge / hypothecation / margin pledge / margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and/or securities and/or specific number of securities will be permitted.



DECLARATION

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document "Right and Obligations of the Beneficial Owner & Depository Participant".

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories)

Holder	Name	Signature
Sole / First Holder / Guardian (Mr./Ms.) (in case of Minor) / Authorised Signatory		
Second Holder/ Authorised Signatory		
Third Holder/ Authorised Signatory		

Other Holders

Second Holder		
Third Holder		

Notes :













1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
10. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
11. Savings bank account details shall only be considered if the account is maintained with the same participant.
12. DP ID and client ID shall be provided where demat details is required to be provided.
13. All communication shall be sent at the address of the Sole/First holder only.
14. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
15. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
16. Strike off whichever is not applicable.

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

I/We agree to the terms and purpose of this DDPI document between Mr./Ms. _____ (First Holder) _____ (Second holder) and _____ (Third holder); an individual/body of individuals/a sole proprietary concern/a partnership firm/a body corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act 2013, or any relevant Act or unregistered in nature; and **L.D.K. SHARES & SEC. PVT. LTD.** (hereinafter referred to as “**LDK SHARES**”), a Company within the meaning of Companies Act, 2013 with its registered office at **48/6 B-3 PUNEET VRINDAVAN BUILDING, SANJAY PLACE, AGRA -282002 ;**

Whereas:

- (a) I/We have established a business relationship with LDK SHARES to avail services w.r.t trading, investing & other services offered by LDK SHARES, having a **DP ID** _____, & **Client ID** _____ DP NAME _____
- (b) This DDPI document shall be as may be updated from time to time, & I agree to the below Four points;

S.No.	Purpose	Signature of Client		
		First Holder	Second Holder	Third Holder
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker			
2.	Pledging / re-pledging of securities in favour of M/S LDK Shares & Securities Pvt. Ltd. as a trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.			
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms			
4.	Tendering shares in open offers through Stock Exchange platforms			

CM BP ID	CM Name
IN564211 (NSE), IN662713 (BSE)	L.D.K. SHARES & SECURITIES PVT. LTD.

DP Name	DP ID	Depository	Beneficiary ID
L.D.K. SHARES & SEC. PVT. LTD.			
	IN303261	NSDL	10021571 (TM/CM-Client Securities Margin Pledge Account) 10008675 (NSE POOL)
			10023532 (TM/CM - Client Unpaid Securities Pledge Account)

For L.D.K. SHARES & SECURITIES PVT. LTD. (LDK)

Date :

Authorised Signatory



L.D.K. SHARES & SECURITIES PVT. LTD.

(Depository Participant with NSDL)

SEBI Regn. No.: IN-DP-NSDL-270-2006 • DP ID : IN303261

Corporate Office : 48/6, B-3, Puneet Vrindavan, Sanjay Place, Agra - 282002

Phone : +91-562-2525906 • Fax : +91-562-2525907 • E-mail : ldkshares@yahoo.co.in • Website : www.ldkshares.com

SCHEDULE A - PRICE STRUCTURE FOR BENEFICIARY ACCOUNT

(Applicable with effect from 1st April 2016)

SERVICES	Scheme A	Scheme B
A/c Opening	Nil	Nil
A/c Maintenance (AMC)	Rs. 300/- p.a.	Rs. 1200/- For Life time
Dematerialisation	Rs. 2/- per certificate + Courier Charges Rs. 25/- (Min. Rs. 100/-)	Rs. 2/- per certificate + Courier Charges Rs. 25/- (Min. Rs. 100/-)
Rematerialisation	Rs. 15/- every 100 securities or part thereof subject to maximum fees of Rs. 5 Lac. or flat fee of rate 50/- per certificate whichever is higher will be payable.	
Transaction Charges (Delivery) (Market + Off Market)	0.04% of the value of transaction or minimum Rs. 20/- (whichever is higher)	0.04% of the value of transaction or minimum Rs. 20/- (whichever is higher)
Receipt	NIL	NIL
Pledge Creation / Closure	0.03% of the value of the pledged securities (minimum of Rs. 50/- per pledge instruction)	0.03% of the value of the pledged securities (minimum of Rs. 50/- per pledge instruction)
Invocation	0.05% of the value of securities invoked (minimum of Rs. 50/- per transaction)	0.05% of the value of securities invoked (minimum of Rs. 50/- per transaction)
Instruction Book Charges	Rs. 40/- per book (10 Leaves)	Rs. 40/- per book (10 Leaves)
Non periodic Statement of holding charges	Rs. 10/- per page plus courier / postage charges	Rs. 10/- per page plus courier / postage charges
Margin Pledge Creation/Closure Charges	0.005% of the value of the Pledge Securities (minimum of Rs. 20/- per instruction)	0.005% of the value of the Pledge Securities (minimum of Rs. 20/- per instruction)

Please Note :

1. GST as per applicable.
2. Any other services provided by DP which is not mentioned in above chart it would be charged extra.
3. 14% p.a. interest will be charged after due date (Computed on daily basis)
4. An annual additional charges of Rs. 500/- will be charged on corporate accounts.
5. AMC for BSDA - (i) No AMC should be levied, if the value of holding is Rs. 50,000 (ii) For the value of holding from 50,001 to Rs. 2,00,000, AMC of Rs. 100 shall be charged (iii) In case value of holding is more than Rs. 2,00,000, Normal AMC as opted in Scheme A and/or B shall be applicable. All other charges are as per details mentioned in Scheme A and/or B.

I/We have accepted & understood the terms & conditions mentioned above.



Signature of 1st Holder



Signature of 2nd Holder



Signature of 3rd Holder

OPTION FOR ISSUANCE OF DIS BOOKLET ALONGWITH ACCOUNT OPENING

(To be filled by persons seeking to open a depository account who have given Power of Attorney to operate the depository account to a stock broker/Portfolio Manager and do not intend to open a Basic Service Demat Account)



L.D.K. SHARES & SECURITIES PVT. LTD.

(Depository Participant with NSDL)

SEBI Regn. No.: IN-DP-NSDL-270-2006 • DP ID : IN303261

Name(s) of Account Holder(s)

Sole/First Holder

Second Holder

Third Holder

Option for Issue of DIS Booklet (Please tick any one)

Option 1

I / We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening.

Option 2

I/We do not wish to receive the DIS booklet with account opening, the DIS booklet should be issued to me/us immediately on my/our request at any later date.

Beneficial Owner	Name	Signature with Date
Sole/First Holder		
Second Holder		
Third Holder		

DECLARATION BY HUF

To,
L.D.K. Shares & Securities Pvt. Ltd.
Corporate Office : 48/6, B-3, Puneet Vrindavan,
Sanjay Place, Agra - 282002

As our HUF firm wishes to open an account with you DP in the said name
we beg to say that the first signatory to this letter i.e., is the Karta of the Joint
Family and other signatories are the adult co-parceners of the said family.

We further confirm that the business of the said joint family is carried on mainly by the said Karta as also by the other signatories hereto
in the interest and for the benefit of the entire body of co-parceners of the joint family . We all undertake that claims due to the DP from the
said family shall be recoverable personally from all or any of us and also for the entire family properties of which the first signatory is the
Karta, including the share of minor co-parceners

In view of the fact that ours is not a firm governed by the Indian Partnership Act of 1952, we have not got our said firm registered under
the said Act.

We hereby undertake to inform the DP of the death or birth of a co-parcener of any change occurring at any time in the membership of
our joint family during the currency of the account.

Name & signature of Karta

Name..... Signature.....

Name & Signature of Adult Co-parceners (Use Annexure for additional Members)

S. No.	Name	PAN	Signature
1.			
2.			
3.			
4.			

Name & Date of Birth of Minor-Co-parceners

S. No.	Name	Date of Birth	Signature
1.			
2.			
3.			
4.			

DECLARATION, INDEMNITY CUM UNDERTAKING FOR NAME DISCREPANCY IN PAN CARD, BANK PROOF & ADDRESS PROOF

To,
L.D.K. Shares & Securities Pvt. Ltd.
Corporate Office : 48/6, B-3, Puneet Vrindavan,
Sanjay Place, Agra - 282002

Date :

I _____ s/o, w/o, d/o _____
_____, refer to my Trading Account
_____ with L.D.K. Shares & Securities Pvt. Ltd. (LDK) do hereby affirm, declare and undertake that

1. That my name as it appear on my trading account is _____
2. That my name as it appears on my demat account is _____
3. That my name as it appears on the Income Tax website is _____
4. That my name as it appears on the Address proof is _____
5. That my name as it appears on my Pan Card is _____
6. That my name as it appears on the Bank Proof is _____
7. That above mentioned names, on Trading account, Demat account, Tax website, Address proof, PAN Card No. _____ and Bank account bearing no. _____ are mine alone.
8. That I hereby request LDK to maintain my name in Demat and Trading account as per the name appearing on the website / PAN card.
9. That I promise and undertake to get my PAN card altered in accordance with my name as appearing on the Income tax within reasonable time from the date of signing this undertaking. LDK may, at its sole discretion, terminate my trading and demat account in the event of me not getting my name altered within reasonable time of signing this undertaking.
10. That I further undertake to open a bank account in accordance with the name as appearing on the Income Tax website week from the date of signing this undertaking.
11. I further undertake that in case my name has been changed after approval from government authorities and notification gazette. I shall get the name change effected in PAN, Bank account etc. and furnish immediately to LDK.
12. That I further declare that I am responsible and I shall indemnify & keep indemnified LDK, its directors, officers, employees, agents from and against any and all losses, claims, liabilities, obligations, damages, deficiencies, judgements, action proceedings arising out or in relation to corporate benefits, IPO refund, Foreign Exchange Management Act (FEMA) transfer, dematerialization of securities, rematerialization of securities, dividends, interest etc., that may arise out Declaration-cum- undertaking and/or acting on this basis.

That the contents of this declaration, Indemnity-cum-undertaking have been explained to me in vernacular and I have understood before signing it. That this declaration, Indemnity-cum-undertaking given by me to LDK is by my absolute free will and coercion, undue influence, pressure etc., and at present I am having sound health and mind.

 _____

Client Signature

Client Name: _____

DECLARATION BY SALES PERSON / AUTHORISED PERSON

To,
L.D.K. Shares & Securities Pvt. Ltd.
Corporate Office : 48/6, B-3, Puneet Vrindavan,
Sanjay Place, Agra - 282002

Dear Sir,

This is with reference to the trading account under consideration in the name of.....
R/o....., I declare that I have met the above captioned person personally & have verified copy of under mentioned KYC documents with original, which is enclosed with account opening form.

Further I also confirm that client has signed and executed the form, stamp papers with enclosures in my presence.

The following KYC documents are checked and verified from the original documents :

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.

Date of verification of documents :.....

The above statement is true and correct and made under my own free mind without having any coercion, misrepresentation or fraud.

Name of the person :.....

Signature :.....

Employee / Authorised Person

FORMAT OF BOARD RESOLUTION

Annexure-1

(on the letter head of the applicant for DP / Trading A/c)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTOR OF
 _____ (Company Name) HELD ON _____ DAY OF _____, 20__ (Date of Board Meeting) AT
THE REGISTERED OFFICE OF THE COMPANY _____ (Place
 of Board Meeting).

RESOLVED THAT the company is empowered to deal with L.D.K. Shares & Securities Pvt. Ltd., Member of the National Stock Exchange of India Ltd. (NSE)/Bombay Stock Exchange Ltd. (BSE) and NSDL for the purpose of dealing in capital Market, F&O, and Currency Derivatives Segment or any other segment that may be introduced by NSE/ BSE and DP (NSDL) in future.

RESOLVED FURTHER THAT in pursuance of the same the company do enter in to an agreement with L.D.K. Shares & Securities Pvt. Ltd. for trading Cash, F&O, and Currency Derivatives Segment & DP.

RESOLVED FURTHER THAT Mr. _____ and Mr. _____, Director of the Company be and are hereby jointly and /or severally authorised to sign, execute and submit such forms, applications, undertakings, agreements and other requisite documents, and to sell, purchase, transfer, endorse, negotiate and /or otherwise deal through L.D.K. Shares & Securities Pvt. Ltd. on behalf, of the Company writings and deeds as may be deemed necessary or expedient to give effect to his resolution.

Name of Authorised Signatory	Designation	Specimen Signature	Signature Attested
Mr.		(Signature of Specimen without stamp)	(Signature of Director with stamp)
Mr.		(Signature of Specimen without stamp)	

RESOLVED FURTHER THAT, the Common Seal of the Company be affixed, wherever, necessary, in the presence of any Director or of any Director and Company Secretary, who shall sign the same in token of their presence.

For _____

(Name of Director)
Director

ACKNOWLEDGEMENT (DP)**L.D.K. SHARES & SECURITIES PVT. LTD.**

(Depository Participant with NSDL)

SEBI Regn. No.: IN-DP-NSDL-270-2006 • DP ID : IN303261

Regd. Office : 195, Patparganj Industrial Area, New Delhi-110092

Corporate Office : 48/6, B-3, Puneet Vrindavan, Sanjay Place, Agra - 282002

Phone : +91-562-2525906 • Fax : +91-562-2525907 • E-mail : ldkshares@yahoo.co.in

Accepted/Rejected the application from M/s as the sole/first holder alongwith and as the second and third holders respectively for opening of a depository account. Your Client Id/CM-BP-Id (in case of Clearing Members) will be intimated to you shortly on acceptance. Please quote the DP Id & Client Id allotted to you (CM-BP-Id in case of Clearing Members) in all your future correspondence.

Date :/...../.....

Participant Stamp & Signature



DECLARATION FOR E-MAIL ID OR MOBILE NUMBER OF FAMILY MEMBER IN CLIENT MASTER

To,
L.D.K. Shares & Securities Pvt. Ltd.
 Corporate Office : 48/6, B-3, Puneet Vrindavan,
 Sanjay Place, Agra - 282002

Dated :

Declaration for Email Id or Mobile Number of Family Member in Client Master

Client ID		Client Code	
Mobile No.	First Holder	Second Holder	Third Holder
E-mail ID	First Holder		
E-mail ID	Second Holder		
E-mail ID	Third Holder		



Dear Sir,

I / We hereby declare that the aforesaid mobile number or E-mail ID belongs to

<input type="checkbox"/> Me	<input type="checkbox"/> My family (spouse, dependent children and dependent parents)
I hereby declare that: 1. I give my consent to use my aforesaid email Id &/or mobile no. for any communication with respect to trading &/or Demat account of My family (spouse, dependent children and dependent parents). 2. The information sent/communicated on the aforesaid email id & or mobile number shall be deemed to be duly communicated to the respective client in full discharge of your obligations, if any as part of services rendered with respect to my/our my aforesaid trading/Demat account.	I hereby declare that: 1. I am the spouse/dependent parent/dependent child of my family member whose email id/ mobile number is mentioned above. 2. The information sent/communicated on the aforesaid email id & or mobile number shall be deemed to be duly communicated to me in full discharge of your obligations as part of services rendered with respect to my trading account. I further undertake that in case there is any change in my status as spouse/dependent as above (as the case may be), I shall immediately inform you in writing through registered AD to The Compliance Officer, L.D.K. Shares & Securities Pvt. Ltd. , 48/6, B-3, Puneet Vrindavan, Sanjay Place, Agra-282002

I/We hereby undertake to indemnify you and shall keep you indemnified against all losses., damages and actions which you may suffer or face as a consequence of you acting on my this request and or my failure to inform you in writing about the change in my status as mentioned above.

I am fully aware that non receipt of bounced mail notification by the stock broker shall amount to delivery of contracted notes and other communication at my above given email id.

Signature  Name of Client : Client Code : Client Id :	Signature  Name of Client : Client Code : Client Id :
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CHECK LIST FOR CLIENT REGISTRATION FORM

FORM RECEIVING DATE :	BRANCH CODE :	CLIENT CODE
ACCOUNT OPENING DATE :	RL/TL	
	SUB BRANCH CODE :	

I.	CHECKING DETAILS	YES	REMARKS
a)	Name as it appears on the ID & Address Proof (in capital letter)		
b)	E-mail ID		
c)	Mobile No.		
d)	Signature of Client on all pages and wherever necessary (Witness wherever required) <input type="checkbox"/>		
e)	Signature Checked and Verified.		
f)	Photograph (duly signed) <input type="checkbox"/>		
g)	A copy of PAN Card (Self Attested) <input type="checkbox"/>		
h)	Address Proof (Self Attested) <input type="checkbox"/>		
i)	Bank Proof containing Client Name (Self Attested) <input type="checkbox"/>		
j)	Stamp Paper : <input type="checkbox"/>		
k)	Exchange given : <input type="checkbox"/> NSE <input type="checkbox"/> BSE		
2.	Telephonic confirmation of Particulars done by _____ Date _____ Time _____ On Phone No. _____		
3.	Details Punched in Computer by _____		
4.	Cross Checking done by _____		
5.	UCC UPLOADED : <input type="checkbox"/> NSE <input type="checkbox"/> BSE	<input type="checkbox"/> ENTERED IN FORM DATA	
6.	INTERNET TRADING <input type="checkbox"/> Odin Diet <input type="checkbox"/> Web Trading		
7.	Client Account Status Report issued by _____		
8.	Form Returned to Compliance by _____ Date : _____ Time : _____		
9.	Kit Dispatched on _____ (Date) _____ Pod No. _____		
10.	KRA REGISTRATION DETAILS If Client is already registered with KRA, please fill in below details : <input type="checkbox"/> NDML <input type="checkbox"/> CVL <input type="checkbox"/> KRA Modification (Please tick any one) (Please furnish photocopy of KRA Registration letter) KRA Registration No.: _____ Date of Registration: _____		

FILE NO.

POA

NOMINEE

FOR OFFICE USE ONLY

NSE	CM	<input type="checkbox"/>	F&O	<input type="checkbox"/>	CD	<input type="checkbox"/>
BSE	CM	<input type="checkbox"/>	F&O	<input type="checkbox"/>		
DP	NSDL	<input type="checkbox"/>				

Serial No. :

Date :

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Client Code :

--	--	--	--	--	--	--	--	--	--

Client ID :

--	--	--	--	--	--	--	--

Holder's Name :

.....

Branch Name :

.....

Branch Code :

.....



L.D.K. SHARES & SECURITIES PVT. LTD.

Member : NSE (Capital Market, F&O and Currency Derivatives Segment)

Member : BSE (Capital Market and F&O Segment)

SEBI Regn. No.: INZ000269834

Depository Participant : NSDL

SEBI Regn. No.: IN-DP-NSDL-270-2006 • DP ID : 303261

Regd. Office : 195, Patparganj Industrial Area, New Delhi-110092

Corporate Office : 48/6, B-3, Puneet Vrindavan, Sanjay Place, Agra - 282002

Phone : +91-562-2525906 • Fax : +91-562-2525907 • E-mail : ldkshares@yahoo.co.in

Website : www.ldkshares.com



G.G. #9891327352